

Research Financial Conflict of Interest and Other Support Disclosure Form

Full Name (First, Middle, Last):

Department:

College:

Section 1. Research Financial Conflict of Interest Disclosure

I am reporting on activities: annual disclosure for the year _____
 as an addendum to my most recent report

TCU requires disclosure of **all** financial interests that reasonably appear to be **related to your institutional responsibilities** received by you, your spouse, or your dependent children of any of the following:

- Income from any outside entity received
- Acquisition of equity in a public company of any value
- Any equity/ownership interest in a privately-held company
- Any income from rights in intellectual property
- All reimbursed and “sponsored” travel

Excluded from this definition are payments (including travel) received from or processed through TCU. Also excluded from this definition are payments received from any of the following entities, provided these payments are received for teaching engagements, lectures, seminars, or services on advisory committees or review panels:

- US Government agencies
- US institutions of higher education and research institutes affiliated with them
- US Academic teaching hospitals
- US Medical centers

Further, you **do not** have to report:

- Salary, royalties, travel, or other remuneration received from or processed through TCU
- Income from investment vehicles over which you do not exercise control, such as mutual funds and retirement accounts

Do you, including your spouse and dependent children, have any Financial Interests related to your institutional responsibilities or research conducted at TCU?

Yes, I have Financial Interests to report. (please provide information about your Financial Interests including reimbursed and sponsored travel on the next few pages)

No, I have no Financial Interests, including travel, to report.

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The information provided below will be treated as Confidential. Please contact the Office of Sponsored Programs at sponsoredprograms@tcu.edu if additional information is needed.

Financial Interests

Equity or ownership in public or privately held companies (stock, stock options, etc.)

Entity/Company Name and address

Is this a Foreign Entity Yes No

Interest held or stock value \$

Describe interest/activity (include person holding interest, and/or work performed)

Reporting for self or family member (if family member please list name and relationship)

Remuneration or payments (consulting and speaker's fees, honoraria, compensation...)

Entity Name and address

Is this a Foreign Entity Yes No

Compensation/ reimbursement amount \$

Describe activity generating the payment (include name and/or work performed)

Reporting for self or family member (if family member please list name and relationship)

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Associations, memberships, positions (board of directors, offices, etc.)

Entity Name and address

Is this a Foreign Entity Yes No

Compensation/ reimbursement amount \$

Describe activity generating the payment (include name and/or work performed)

Reporting for self or family member (if family member please list name and relationship)

Intellectual property rights, royalty income, licenses (if not managed through TCU)

Entity Name and address

Is this a Foreign Entity Yes No

Value / compensation amount \$

Describe intellectual property rights or licenses (include person holding the property, and/or relation to institutional role)

Reporting for self or family member (if family member please list name and relationship)

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Other Potential Conflict(s)

Entity Name and address

Is this a Foreign Entity Yes No

Interest/ compensation/ reimbursement amount\$

Describe interest/activity (include person holding interest, and/or work performed)

Reporting for self or family member (if family member please list name and relationship)

Travel

Entity Name and address which paid for or reimbursed travel

Is this a Foreign Entity Yes No

Travel destination -

Travel duration –

Purpose of travel –

Estimated value of travel or reimbursed amount -

Reporting for self or family member (if family member please list name and relationship)

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Section 2. Other Support Disclosure

TCU requires disclosure of external other support an investigator receives that reasonably appears to be **related to your institutional responsibilities** including any activity related to your research that may occur over summer months or at other US or foreign institutions or laboratories.

Other support means any and all resources and affiliations made available to an investigator in support of and/or related to all of your research endeavors, regardless of whether or not the support includes a monetary value. This information is what would typically be contained in the NSF current and pending support pages or the NIH Biosketch.

Examples of other support may include:

- in-kind resources (such as office/laboratory space at another institution, equipment, supplies, employees, students or visiting scholars) provided for you through external sources or at non-TCU facilities
- financial support through grants, contracts, consulting agreements and other awards which are routed through TCU and/or which you may have received and signed as an individual
- positions, professional affiliations and scientific appointments you hold outside of TCU regardless of remuneration, including adjunct, visiting faculty/scholar or honorary positions.
- current or pending participation in “talents” or similar-type programs, and
- “gifts” where items or funds are received with conditions attached or deliverables expected in return both received at TCU or awarded directly to you

In the space below, provide a complete list which includes all of your other support. You should include the name of sponsor/entity, total award amount or description of in-kind resources, title of project, brief description of project/research, entire award period, percent of time committed annually to each listed other support. If additional space is needed, please attach additional pages. You may instead, attach this information using the format of the NSF current and pending support pages or the NIH Biosketch as long as the requested information is included.

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Section 3. Faculty Attestation

I, _____, affirm that this declaration of financial interest, potential conflict of interest and other support, has been examined by me and to the best of my knowledge and belief it is a true, correct and complete statement. I am compliant with the required Conflict of Interest training as required in the TCU Research Financial Conflict of Interest Policy. I am compliant with federal conflict of interest policies and reporting of other support requirements to federal sponsors. I understand that failure to file this disclosure as required or intentionally filing a false statement may result in disciplinary action. Any changes to this statement, or new information related thereto, will be reported prior to any federal proposal submission, and at any time, on an ad hoc basis, as soon as practicable, but no longer than 30 days after discovery and/or acquisition. I have read and understand Texas Christian University's policy on Research Financial Conflict of Interest and if required, I will comply with any conditions or restrictions imposed by Texas Christian University to manage any real or perceived conflicts. If requested, I will provide Texas Christian University with copies of any agreements, contracts, grants or gift notices involving financial interests or other support.

Signature

Date

Please submit your completed, signed form with any additional attachments to sponsoredprograms@tcu.edu no later than March 1.