**Managing Research Related Conflicts of Interest: Management Plan Requirements**

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|  | ***Type of Company Sponsorship*** | ***Texas Christian University Researcher Relationship with Company*** | ***Allowable Researcher Role: for Research Not Involving Human Participants***  | ***Management plan terms***  |
| 1.  | Company does not fund Texas Christian University research.  | Researcher has a Significant Financial Interest (SFI) in the Company limited to - remuneration over $10,000 (consulting or other fee for services)  | • No conflict exists • No management plan required  | No Management Plan  |
| 2.  | Company does not fund Texas Christian University research  | Researcher has an SFI in the Company (including any of the following): - equity interest - management role - licensing activity  | • Conflict Management Plan Required • Limited Conflict Manager (CM) oversight- review of disclosures, address concerns  | • Disclosure • Limits on use of Texas Christian University resources, name • Limits on use of students/employees • Semi-annual check-in with the CM • **Level 1 plan**  |
| 3.  | Company funds Texas Christian University research through subaward of a **government agency** funding (includes SBIR/STTR)  | Researcher has a financial interest in the Company (including any of the following): - remuneration over $10,000 - equity interest - management role | • Conflict Management Plan Required • Researcher may be the only PI on the funded project • Conflict Manager oversight: review of disclosures, address concerns, budget and expenditures review  | • Disclosure • Limits on use of Texas Christian University resources, name• More stringent limits on use of students/employees on company funded research • Semi-annual check in with CM • **Level 2 plan**  |
| 4.  | Company funds Texas Christian University research either directly or through subaward of a **non- governmental entity**  | Researcher has a financial interest in the Company including: - remuneration over $10,000 BUT - NO equity or management role | • Conflict Management Plan Required • Researcher may be the only PI on the funded project • Conflict Manager oversight: review of disclosures, address concerns, budget and expenditures review  | • Disclosure • Limits on use of Texas Christian University resources, name • More stringent limits on use of students/employees on company funded research • Semi-annual check in with CM **• Level 2 plan**  |
| 5.  | Company funds Texas Christian University research either directly or through subaward of a **non- governmental entity**  | Researcher has financial interest in the Company including: - remuneration over $10,000 AND - an equity interest or management role | • Conflict Management Plan Required • A non-conflicted Co-PI must be appointed on the funded project • Conflict Manager oversight: review of disclosures, address concerns, budget and expenditures review  | • Disclosure • Limits on use of Texas Christian University resources, name • More stringent limits on use of students/employees on company funded research • Semi-annual check in with CM **• Level 3 plan** |