**Managing Research Related Conflicts of Interest: Management Plan Requirements**

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|  | ***Type of Company Sponsorship*** | ***Texas Christian University Researcher Relationship with Company*** | ***Allowable Researcher Role: for Research Not Involving Human Participants*** | ***Management plan terms*** |
| 1. | Company does not fund Texas Christian University research. | Researcher has a Significant Financial  Interest (SFI) in the Company limited  to  - remuneration over $10,000  (consulting or other fee for  services) | • No conflict exists  • No management plan required | No Management Plan |
| 2. | Company does not fund Texas Christian University research | Researcher has an SFI in the Company  (including any of the following):  - equity interest  - management role  - licensing activity | • Conflict Management Plan Required  • Limited Conflict Manager (CM) oversight- review of disclosures, address concerns | • Disclosure  • Limits on use of Texas Christian University resources, name  • Limits on use of students/employees  • Semi-annual check-in with the CM  • **Level 1 plan** |
| 3. | Company funds Texas Christian University research through subaward of a **government agency** funding (includes SBIR/STTR) | Researcher has a financial interest in  the Company (including any of the  following):  - remuneration over $10,000  - equity interest  - management role | • Conflict Management Plan Required  • Researcher may be the only PI on the funded project  • Conflict Manager oversight: review of disclosures, address concerns, budget and expenditures review | • Disclosure  • Limits on use of Texas Christian University resources, name  • More stringent limits on use of students/employees on company funded research  • Semi-annual check in with CM  • **Level 2 plan** |
| 4. | Company funds Texas Christian University research either directly or through subaward of a **non- governmental entity** | Researcher has a financial interest in  the Company including:  - remuneration over $10,000 BUT  - NO equity or management role | • Conflict Management Plan Required  • Researcher may be the only PI on the funded project  • Conflict Manager oversight: review of disclosures, address concerns, budget and expenditures review | • Disclosure  • Limits on use of Texas Christian University resources, name  • More stringent limits on use of students/employees on company funded research  • Semi-annual check in with CM  **• Level 2 plan** |
| 5. | Company funds Texas Christian University research either directly or through subaward of a **non- governmental entity** | Researcher has financial interest in the  Company including:  - remuneration over $10,000 AND  - an equity interest or management  role | • Conflict Management Plan Required  • A non-conflicted Co-PI must be appointed on the funded project  • Conflict Manager oversight: review of disclosures, address concerns, budget and expenditures review | • Disclosure  • Limits on use of Texas Christian University resources, name  • More stringent limits on use of students/employees on company funded research  • Semi-annual check in with CM  **• Level 3 plan** |